

Venkatachaliengar's proof of the  
transformation formula for the eta-function,  
and some extensions.

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## Outline

1. Venkatachaliengar's proof of the transformation formula for the eta-function
2. Ramanujan's function  $k$
3. Elliptic functions and  $\Gamma_0(10)$
4. Other extensions and  $\Gamma_0(p)$
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# Development of Elliptic Functions according to Ramanujan

K. Venkatachaliengar, 1988(?)

1. The basic identity
2. The differential equations of  $P$ ,  $Q$  and  $R$
3. The Jordan-Kronecker function
4. The Weierstrassian invariants
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6. The development of elliptic functions
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plus three appendices. viii + 147 pp.

## The eta-function

- Definition

$$\eta(\tau) = e^{i\pi\tau/12} \prod_{j=1}^{\infty} (1 - e^{2\pi i j \tau}) = q^{1/24} \prod_{j=1}^{\infty} (1 - q^j),$$

$\text{Im}(\tau) > 0$  and  $q = \exp(2\pi i \tau)$ , so  $|q| < 1$ .

- Transformation formula

$$\eta(\tau) = \sqrt{\frac{i}{\tau}} \eta\left(-\frac{1}{\tau}\right).$$

- Equivalent transformation formula

$$q^{1/24} \prod_{j=1}^{\infty} (1 - q^j) = \sqrt{\frac{1}{t}} q_1^{1/24} \prod_{j=1}^{\infty} (1 - q_1^j),$$

$$q = e^{-2\pi t}, \quad q_1 = e^{-2\pi/t}$$

$$\tau = it, \quad \text{Re}(t) > 0.$$

Venkatachaliengar's proof [pp. 33–35]

Suppose  $\text{Im}(\tau) > 0$ ,  $q = e^{2\pi i \tau}$  and define

$$\phi(z|\tau) = \frac{1}{4} \cot \frac{z}{2} + \sum_{j=1}^{\infty} \frac{q^j}{1 - q^j} \sin jz.$$

- $\phi(z|\tau)$  is an odd function of  $z$
- $\phi(z|\tau)$  analytic except for simple poles at  $z = 2\pi m + 2\pi n\tau$ ,  $m, n \in \mathbb{Z}$ .  
The residue at each pole is  $1/2$ .
- $\phi(z + 2\pi|\tau) = \phi(z|\tau)$

$$\phi(z + 2\pi\tau|\tau) = \phi(z|\tau) - \frac{i}{2}.$$

## Transformation formula

- The functions  $\phi(z|\tau)$  and  $\frac{1}{\tau}\phi\left(\frac{z}{\tau} \middle| -\frac{1}{\tau}\right)$  have the same poles and residues.
- The difference  $f(z) = \phi(z|\tau) - \frac{1}{\tau}\phi\left(\frac{z}{\tau} \middle| -\frac{1}{\tau}\right)$

is entire and has the properties

$$f(z + 2\pi) = f(z) + \frac{1}{2i\tau},$$

$$f(z + 2\pi\tau) = f(z) + \frac{1}{2i}.$$

- The function  $\phi(z|\tau) - \frac{1}{\tau}\phi\left(\frac{z}{\tau} \middle| -\frac{1}{\tau}\right) - \frac{1}{4\pi i\tau}z$

is odd, entire and doubly periodic.

By Liouville's theorem it is identically zero.

## Series expansion

$$\begin{aligned}
\phi(z|\tau) &= \frac{1}{4} \cot \frac{z}{2} + \sum_{j=1}^{\infty} \frac{q^j}{1-q^j} \sin jz \\
&= \frac{1}{2z} + \sum_{n=1}^{\infty} \frac{(-1)^{n-1}}{(2n-1)!} S_{2n-1}(\tau) z^{2n-1}
\end{aligned}$$

where

$$S_{2n-1}(\tau) = -\frac{B_{2n}}{4n} + \sum_{j=1}^{\infty} \frac{j^{2n-1} q^j}{1-q^j}$$

and  $B_{2n}$  are the Bernoulli numbers defined by

$$\frac{t}{e^t - 1} = \sum_{n=0}^{\infty} \frac{B_n}{n!} t^n.$$

In particular,

$$S_1(\tau) = -\frac{1}{24} + \sum_{j=1}^{\infty} \frac{j q^j}{1-q^j}.$$

## A transformation formula

Substitute the series expansion for  $\phi(z|\tau)$  into the transformation formula and equate coefficients of  $z$ :

$$\begin{aligned} S_1(\tau) &= \frac{1}{\tau^2} S_1\left(-\frac{1}{\tau}\right) - \frac{1}{4\pi i \tau}, \\ S_{2n-1}(\tau) &= \frac{1}{\tau^{2n}} S_{2n-1}\left(-\frac{1}{\tau}\right), \quad n \geq 2. \end{aligned}$$

The result for  $S_1$  may be written in the form

$$\begin{aligned} 1 - 24 \sum_{j=1}^{\infty} \frac{je^{-2\pi jt}}{1 - e^{-2\pi jt}} \\ = -\frac{1}{t^2} \left( 1 - 24 \sum_{j=1}^{\infty} \frac{je^{-2\pi j/t}}{1 - e^{-2\pi j/t}} \right) - \frac{6}{\pi t}. \end{aligned}$$

The value  $t = 1$  solves a problem posed by Ramanujan as Q. 387 in the Journal of the Indian Mathematical Society:

$$\sum_{j=1}^{\infty} \frac{j}{e^{2\pi j} - 1} = \frac{1}{24} - \frac{1}{8\pi}.$$

## Venkatachaliengar's proof: conclusion

$$\begin{aligned}
& 1 - 24 \sum_{j=1}^{\infty} \frac{je^{-2\pi jt}}{1 - e^{-2\pi jt}} \\
&= -\frac{1}{t^2} \left( 1 - 24 \sum_{j=1}^{\infty} \frac{je^{-2\pi j/t}}{1 - e^{-2\pi j/t}} \right) - \frac{6}{\pi t}.
\end{aligned}$$

- Integrate, then exponentiate:

$$q^{1/12} \prod_{j=1}^{\infty} (1 - q^j)^2 = \frac{A}{t} q_1^{1/12} \prod_{j=1}^{\infty} (1 - q_1^j)^2,$$

$$q = e^{-2\pi t}, \quad q_1 = e^{-2\pi/t}, \quad t > 0$$

and  $A$  is a constant, independent of  $t$ .

- Set  $t = 1$  to get  $A = 1$ .
- Proved for  $t > 0$ . It holds for  $\operatorname{Re}(t) > 0$  by analytic continuation.

## Exercise

Integrate with respect to  $z$ :

$$\phi(z|\tau) = \frac{1}{\tau} \phi\left(\frac{z}{\tau} \middle| -\frac{1}{\tau}\right) + \frac{1}{4\pi i \tau} z$$

to deduce the transformation formula for the Jacobian theta function  $\theta_1(z|\tau)$ .

## Part 2: Ramanujan's function $k$

- $R(q) = q^{1/5} \prod_{j=1}^{\infty} \frac{(1 - q^{5j-4})(1 - q^{5j-1})}{(1 - q^{5j-3})(1 - q^{5j-2})}$

Rogers-Ramanujan continued fraction.

- $k = R(q)R^2(q^2) = q \prod_{j=1}^{\infty} (1 - q^j)^{-c(j)}$

$$c(j) = (-1)^j \left(\frac{j}{5}\right)$$

$$= \begin{cases} 1 & \text{if } j \equiv 3, 4, 6, 7 \pmod{10}, \\ -1 & \text{if } j \equiv 1, 2, 8, 9 \pmod{10}, \\ 0 & \text{otherwise.} \end{cases}$$

- Properties of  $k$  given by Ramanujan in the lost notebook have been analyzed by S. S. Rangachari and S. Raghavan, S.-Y. Kang, G. E. Andrews and B. C. Berndt, and C. Gugg.

## Extending Ramanujan's results for $k$

- $k = q \prod_{j=1}^{\infty} (1 - q^j)^{-c(j)}$
- $z = q \frac{d}{dq} \log k = 1 + \sum_{j=1}^{\infty} (-1)^j \left(\frac{j}{5}\right) \frac{j q^j}{1 - q^j}$
- Theorem: Each of  $z$ ,  $\frac{1}{k} - k$ ,  $\frac{1}{k} + 1 - k$  and  $\frac{1}{k} - 4 - k$  have simple expressions in terms of eta-quotients.
- Equivalently, each of  $\eta^{24}(\tau)$ ,  $\eta^{24}(2\tau)$ ,  $\eta^{24}(5\tau)$  and  $\eta^{24}(10\tau)$  is expressible as
$$z^6 \times \text{rational function of } k.$$

## $k$ and eta-quotients

Let

$$\eta_n = \eta(n\tau) = q^{n/24} \prod_{j=1}^{\infty} (1 - q^{nj}).$$

$$\begin{aligned}\eta_1^{24} &= z^6 \frac{k(1 - 4k - k^2)^4}{(1 - k^2)^4(1 + k - k^2)}, \\ \eta_2^{24} &= z^6 \frac{k^2(1 + k - k^2)^4}{(1 - k^2)^5(1 - 4k - k^2)}, \\ \eta_5^{24} &= z^6 \frac{k^5(1 - k^2)^4}{(1 + k - k^2)^5(1 - 4k - k^2)^4}, \\ \eta_{10}^{24} &= z^6 \frac{k^{10}}{(1 - k^2)(1 + k - k^2)^4(1 - 4k - k^2)^5}.\end{aligned}$$

## Ramanujan's Eisenstein series

- Let

$$\begin{aligned} P(q) &= 1 - 24 \sum_{j=1}^{\infty} \frac{j q^j}{1 - q^j}, \\ Q(q) &= 1 + 240 \sum_{j=1}^{\infty} \frac{j^3 q^j}{1 - q^j}, \\ R(q) &= 1 - 504 \sum_{j=1}^{\infty} \frac{j^5 q^j}{1 - q^j}. \end{aligned}$$

These are the first three coefficients in the expansion of  $\phi(z|\tau)$  in Venkatachaliengar's proof.

- Note:  $q \frac{d}{dq} \log \eta^{24}(\tau) = P(q).$

## Ramanujan's Eisenstein series $P(q)$

- Theorem:

$$\begin{pmatrix} P_1 \\ P_2 \\ P_5 \\ P_{10} \end{pmatrix} = \begin{pmatrix} 4 & 1 & -4 & 6 \\ \frac{5}{2} & -2 & \frac{1}{2} & 3 \\ -\frac{4}{5} & 1 & \frac{4}{5} & \frac{6}{5} \\ \frac{1}{10} & \frac{2}{5} & \frac{1}{2} & \frac{3}{5} \end{pmatrix} \begin{pmatrix} \frac{(1+k^2)}{(1-k^2)}z \\ \frac{(1+k^2)}{(1+k-k^2)}z \\ \frac{(1+k^2)}{(1-4k-k^2)}z \\ k \frac{dz}{dk} \end{pmatrix}.$$

where  $P_n = P(q^n)$ .

- Proof: Apply logarithmic differentiation to the corresponding results for  $\eta_1, \eta_2, \eta_5$  and  $\eta_{10}$ .

## Ramanujan's Eisenstein series

- Each of  $P(q)$ ,  $P(q^2)$ ,  $P(q^5)$  and  $P(q^{10})$  may be expressed in the form

$$z \times \text{rational function of } k + \text{const} \times k \frac{dz}{dk}.$$

- Each of  $Q(q)$ ,  $Q(q^2)$ ,  $Q(q^5)$  and  $Q(q^{10})$  may be expressed in the form

$$z^2 \times \text{rational function of } k.$$

- Each of  $R(q)$ ,  $R(q^2)$ ,  $R(q^5)$  and  $R(q^{10})$  may be expressed in the form

$$z^3 \times \text{rational function of } k.$$

- Analogue of a catalogue for classical theta functions given by Ramanujan in Chapter 17 of his second notebook.

## Chapter 19 of Ramanujan's 2nd notebook

$$\begin{aligned} & 1 + \sum_{j=1}^{\infty} (-1)^j \left(\frac{j}{5}\right) \frac{jq^j}{1-q^j} \\ &= \frac{1}{4} \varphi(-q) \varphi(-q^5) \left(5\varphi^2(-q^5) - \varphi^2(-q)\right), \end{aligned}$$

$$\begin{aligned} & \sum_{j=1}^{\infty} \left(\frac{j}{5}\right) \frac{jq^j}{1-q^{2j}} \\ &= q\psi(q)\psi(q^5) \left(\psi^2(q) - 5q\psi^2(q^5)\right), \end{aligned}$$

where

$$\varphi(q) = \sum_{j=-\infty}^{\infty} q^{j^2} \quad \text{and} \quad \psi(q) = \sum_{j=0}^{\infty} q^{j(j+1)/2}.$$

Each factor on the right hand sides of these identities may be written as an eta-quotient.

## Connection with $k$ and $z$

- $1 + \sum_{j=1}^{\infty} (-1)^j \left(\frac{j}{5}\right) \frac{jq^j}{1-q^j} = z,$
- $\sum_{j=1}^{\infty} \left(\frac{j}{5}\right) \frac{jq^j}{1-q^{2j}} = \frac{zk}{1-k^2},$
- $\sum_{j=1}^{\infty} (-1)^{j-1} \frac{jq^j(1-q^j)(1-q^{2j})}{1-q^{5j}} = \frac{zk}{1+k-k^2},$
- $\sum_{j=1}^{\infty} \frac{jq^j(1-q^{2j})(1-q^{6j})}{1-q^{10j}} = \frac{zk}{1-4k-k^2}.$

## Part 3: Elliptic functions

This section is based on joint work with  
Heung Yeung Lam (preprint).

## Generalized Eisenstein series

For any positive integer  $n$ , define

$$\begin{aligned} F_1(2n|\tau) &= \frac{B_{2n,10}}{4n} + \sum_{j=1}^{\infty} (-1)^j \left(\frac{j}{5}\right) \frac{j^{2n-1} q^j}{1 - q^j}, \\ F_2(2n|\tau) &= \sum_{j=1}^{\infty} \left(\frac{j}{5}\right) \frac{j^{2n-1} q^j}{1 - q^{2j}}, \\ F_5(2n|\tau) &= \sum_{j=1}^{\infty} (-1)^{j-1} \frac{j^{2n-1} q^j (1 - q^j)(1 - q^{2j})}{1 - q^{5j}}, \\ F_{10}(2n|\tau) &= \sum_{j=1}^{\infty} \frac{j^{2n-1} q^j (1 - q^{2j})(1 - q^{6j})}{1 - q^{10j}}. \end{aligned}$$

- $B_{2n,10}$  are generalized Bernoulli numbers, defined by

$$x \left( \frac{e^{4x} + e^{3x} - e^{2x} - e^x}{e^{5x} + 1} \right) = \sum_{n=0}^{\infty} B_{n,10} \frac{x^n}{n!}.$$

- $B_{0,10} = 0$ ,  $B_{2,10} = 4$ ,  $B_{4,10} = -8 \times 17$ ,  $B_{6,10} = 12 \times 871$ , and  $B_{8,10} = -16 \times 92777$ .

## Elliptic functions

$$F_2(2n|\tau) = \sum_{j=1}^{\infty} \left(\frac{j}{5}\right) \frac{j^{2n-1} q^j}{1 - q^{2j}}.$$

$$M_2(z|\tau)$$

$$= \sum_{n=1}^{\infty} F_2(2n|\tau) \frac{(-1)^{n-1} (2z)^{2n-1}}{(2n-1)!}$$

$$= \sum_{j=1}^{\infty} \left(\frac{j}{5}\right) \frac{q^j}{1 - q^{2j}} \sin 2jz$$

$$= \frac{1}{2i} \sum_{j=-\infty}^{\infty} \frac{\begin{pmatrix} q^{2j-1} e^{2iz} - q^{4j-2} e^{4iz} \\ -q^{6j-3} e^{6iz} + q^{8j-4} e^{8iz} \end{pmatrix}}{1 - q^{10j-5} e^{10iz}}$$

$$= \frac{\eta(2\tau)\eta(5\tau)}{2\eta(\tau)} \times \frac{\theta(z|\tau)\theta(2z|2\tau)\theta(5z|10\tau)}{\theta(z|2\tau)\theta(5z|5\tau)}.$$

## Periods and irreducible sets of zeros and poles

Function	Periods $(\omega_1, \omega_2)$	Poles
$M_1(z \tau)$	$(\pi, \pi\tau)$	$\frac{j\omega_1}{10}, j \in \{1, 3, 7, 9\}$
$M_2(z \tau)$	$(\pi, 2\pi\tau)$	$\frac{j\omega_1}{5} + \frac{\omega_2}{2}, j \in \{1, 2, 3, 4\}$
$M_5(z \tau)$	$(\pi, 5\pi\tau)$	$\frac{\omega_1}{2} + \frac{j\omega_2}{5}, j \in \{1, 2, 3, 4\}$
$M_{10}(z \tau)$	$(\pi, 10\pi\tau)$	$\frac{j\omega_2}{10}, j \in \{1, 3, 7, 9\}$

Each function has zeros at  $0, \omega_1/2, \omega_2/2$  and  $(\omega_1 + \omega_2)/2$ .

The order of each elliptic function is 4.

## Pole sets

Let  $u, v \in \mathbb{C}$  with  $\operatorname{Im}(v/u) > 0$ . Let

$$\begin{aligned} P_1(u, v) &= \left\{ \left( m + \frac{r}{10} \right) u + nv \right\} \\ P_2(u, v) &= \left\{ \left( m + \frac{s}{5} \right) u + \left( n + \frac{1}{2} \right) v \right\} \\ P_5(u, v) &= \left\{ \left( m + \frac{1}{2} \right) u + \left( n + \frac{s}{5} \right) v \right\} \\ P_{10}(u, v) &= \left\{ mu + \left( n + \frac{r}{10} \right) v \right\} \end{aligned}$$

where

$$m, n \in \mathbb{Z}, r \in \{1, 3, 7, 9\} \text{ and } s \in \{1, 2, 3, 4\}.$$

Thus  $P_k(u, v)$  is the sets of poles of the function  $M_k \left( \frac{\pi z}{u} \mid \frac{v}{ku} \right)$ ,  $k \in \{1, 2, 5, 10\}$ .

## Intra-relations

- Let  $u, v \in \mathbb{C}$  with  $\operatorname{Im}(v/u) > 0$ .
- Suppose  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \operatorname{SL}(2, \mathbb{Z})$
- Let  $V = av + bu$ ,  $U = cv + du$ .
- $c \equiv 0 \pmod{10} \Rightarrow P_1(u, v) = P_1(U, V)$ .
- $\left\{ \begin{array}{l} b \equiv 0 \pmod{2} \\ c \equiv 0 \pmod{5} \end{array} \right\} \Rightarrow P_2(u, v) = P_2(U, V)$ .
- $\left\{ \begin{array}{l} b \equiv 0 \pmod{5} \\ c \equiv 0 \pmod{2} \end{array} \right\} \Rightarrow P_5(u, v) = P_5(U, V)$ .
- $b \equiv 0 \pmod{10} \Rightarrow P_{10}(u, v) = P_{10}(U, V)$ .

## Intra-relations: example

- Suppose  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}(2, \mathbb{Z})$ ,  
 $b \equiv 0 \pmod{2}$  and  $c \equiv 0 \pmod{5}$ .
- Let  $u, v \in \mathbb{C}$  with  $\mathrm{Im}(v/u) > 0$ .  
 Let  $V = av + bu$ ,  $U = cv + du$ .
- $\frac{1}{U} M_2 \left( \frac{\pi z}{U} \middle| \frac{V}{2U} \right) = \left( \frac{d}{5} \right) \frac{1}{u} M_2 \left( \frac{\pi z}{u} \middle| \frac{v}{2u} \right).$
- Proof:  $M_2 \left( \frac{\pi z}{U} \middle| \frac{V}{2U} \right)$  and  $M_2 \left( \frac{\pi z}{u} \middle| \frac{v}{2u} \right)$  are elliptic functions with the same periods, zeros and poles. Their quotient is therefore a constant, which can be determined by examining the behavior at the pole

$$z = \frac{U}{5} + \frac{V}{2} = \frac{du}{5} + \frac{av}{2}.$$

## Intra-relations: conclusion

- Suppose  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}(2, \mathbb{Z})$ ,  $c \equiv 0 \pmod{10}$ .
- For  $k \in \{1, 2, 5, 10\}$ , the elliptic functions satisfy

$$M_k \left( z \left| \frac{a\tau + b}{c\tau + d} \right. \right) = \left( \frac{d}{5} \right) (c\tau + d) M_k ((c\tau + d)z | \tau)$$

and the Eisenstein series satisfy

$$F_k \left( 2n \left| \frac{a\tau + b}{c\tau + d} \right. \right) = \left( \frac{d}{5} \right) (c\tau + d)^{2n} F_k (2n | \tau).$$

- Example:

$$\sum_{j=1}^{\infty} \left( \frac{j}{5} \right) \frac{jq^j}{1 - q^{2j}} = \left( \frac{d}{5} \right) (c\tau + d)^2 \sum_{j=1}^{\infty} \frac{jq_1^j}{1 - q_1^j},$$

$$q = \exp(2\pi i \tau), \quad q_1 = \exp \left( 2\pi i \left( \frac{a\tau + b}{c\tau + d} \right) \right).$$

## Inter-relations

- Let  $u_1, v_1 \in \mathbb{C}$  with  $\operatorname{Im}(v_1/u_1) > 0$ .

- $$\begin{pmatrix} v_2 \\ u_2 \end{pmatrix} = \begin{pmatrix} 2 & -1 \\ 5 & -2 \end{pmatrix} \begin{pmatrix} v_1 \\ u_1 \end{pmatrix},$$

- $$\begin{pmatrix} v_5 \\ u_5 \end{pmatrix} = \begin{pmatrix} 5 & 1 \\ 4 & 1 \end{pmatrix} \begin{pmatrix} v_1 \\ u_1 \end{pmatrix},$$

- $$\begin{pmatrix} v_{10} \\ u_{10} \end{pmatrix} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} v_1 \\ u_1 \end{pmatrix}.$$

- $P_1(u_1 v_1) = P_2(u_2, v_2)$

$$= P_5(u_5, v_5) = P_{10}(u_{10}, v_{10}).$$

## Inter-relations among elliptic functions

$$\begin{aligned}
M_1(z|\tau) &= \frac{-1}{(5\tau - 2)} M_2 \left( \frac{z}{5\tau - 2} \middle| \frac{2\tau - 1}{2(5\tau - 2)} \right) \\
&= \frac{1}{\sqrt{5}(4\tau + 1)} M_5 \left( \frac{z}{4\tau + 1} \middle| \frac{5\tau + 1}{5(4\tau + 1)} \right) \\
&= \frac{-1}{\sqrt{5}\tau} M_{10} \left( \frac{z}{\tau} \middle| \frac{-1}{10\tau} \right).
\end{aligned}$$

## Atkin-Lehner involutions

$$\begin{aligned}
F_1(2n|\tau) &= \frac{-1}{(5\tau - 2)^{2n}} F_2 \left( 2n \middle| \frac{2\tau - 1}{2(5\tau - 2)} \right) \\
&= \frac{1}{\sqrt{5}(4\tau + 1)^{2n}} F_5 \left( 2n \middle| \frac{5\tau + 1}{5(4\tau + 1)} \right) \\
&= \frac{-1}{\sqrt{5}\tau^{2n}} F_{10} \left( 2n \middle| \frac{-1}{10\tau} \right).
\end{aligned}$$

## Inter-relations: example

$$\begin{aligned}
& 1 + \sum_{j=1}^{\infty} (-1)^j \left(\frac{j}{5}\right) \frac{jq_1^j}{1-q_1^j} \\
&= \frac{-1}{(5\tau-2)^2} \sum_{j=1}^{\infty} \left(\frac{j}{5}\right) \frac{jq_2^j}{1-q_2^{2j}}
\end{aligned}$$

$$q_1 = \exp(2\pi i \tau),$$

$$q_2 = \exp\left(2\pi i \frac{(2\tau-1)}{2(5\tau-2)}\right).$$

## Atkin-Lehner relations

$$q_1 = \exp(2\pi i\tau), \quad q_2 = \exp\left(2\pi i \frac{(2\tau - 1)}{2(5\tau - 2)}\right).$$

$$k_1 = k(q_1), \quad k_2 = k(q_2),$$

$$\begin{pmatrix} \frac{k_1}{1+k_1^2} \\ \frac{1-k_1^2}{1+k_1^2} \\ \frac{1+k_1-k_1^2}{1+k_1^2} \\ \frac{1-4k_1-k_1^2}{1+k_1^2} \end{pmatrix} = A_r \begin{pmatrix} \frac{k_2}{1+k_2^2} \\ \frac{1-k_2^2}{1+k_2^2} \\ \frac{1+k_2-k_2^2}{1+k_2^2} \\ \frac{1-4k_2-k_2^2}{1+k_2^2} \end{pmatrix},$$

$$A_2 = \begin{pmatrix} 0 & -\frac{1}{2} & 0 & 0 \\ 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & -\frac{1}{2} \\ 0 & 0 & 2 & 0 \end{pmatrix},$$

## Part 4. Other extensions: $\Gamma_0(p)$

- Let  $p$  be an odd prime.
- $\Gamma_0(p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}(2, \mathbb{Z}) \mid c \equiv 0 \pmod{p} \right\}$
- Let  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(p)$ .
- Let  $w_1, w_2 \in \mathbb{C}$  with  $\text{Im}(w_2/w_1) > 0$ .
- Let  $w_4 = aw_2 + bw_1$  and  $w_3 = cw_2 + dw_1$ .
- Let  $\tau = \frac{w_2}{w_1}$ .
- Then  $\frac{w_4}{w_3} = \frac{a\tau + b}{c\tau + d}$  and  $\frac{w_3}{w_1} = c\tau + d$ .

## Lattice subsets

$$\bullet \quad \Lambda^\pm(w_1, w_2) = \left\{ mw_1 + nw_2 : \left(\frac{n}{p}\right) = \pm 1 \right\},$$

$$\bullet \quad \Omega^\pm(w_1, w_2) = \left\{ \frac{m}{p}w_1 + w_2 : \left(\frac{m}{p}\right) = \pm 1 \right\}.$$

- Intra-relationships:

$$\Lambda^\pm(w_1, w_2) = \Lambda^{\pm\left(\frac{d}{p}\right)}(w_3, w_4)$$

$$\Omega^\pm(w_1, w_2) = \Omega^{\pm\left(\frac{d}{p}\right)}(w_3, w_4)$$

- Inter-relationship:

$$\Lambda^\pm(w_1, w_2) = \Omega^{\pm\left(\frac{d}{p}\right)}(pw_2, -w_1)$$

## Modular relations for $\Gamma_0(p)$

Idea:

- Cook up an elliptic function with zero set given by  $\Lambda^+(w_1, w_2)$  and pole set given by  $\Lambda^-(w_1, w_2)$ , and another one with zero set  $\Omega^+(w_1, w_2)$  and pole set  $\Omega^-(w_1, w_2)$ .
- Exploit the intra-relationships.
- Exploit the inter-relationships.

## Construction of elliptic functions

$$\begin{aligned} R &= \left\{ r : 1 \leq r \leq p-1, \left( \frac{r}{p} \right) = 1 \right\} \\ NR &= \left\{ r : 1 \leq r \leq p-1, \left( \frac{r}{p} \right) = -1 \right\} \end{aligned}$$

$$\begin{aligned} \theta(z|\tau) &= 2q^{1/8} \sin z \\ &\times \prod_{n=1}^{\infty} (1 - q^n e^{2iz})(1 - q^n e^{-2iz})(1 - q^n). \end{aligned}$$

$$\begin{aligned} F(z; \omega_1, \omega_2) &= \frac{\prod_{r \in R} \exp\left(\frac{-2\pi irz}{p\omega_1}\right) \theta_1\left(\frac{\pi}{\omega_1}(z - r\omega_2) \mid \frac{p\omega_2}{\omega_1}\right)}{\prod_{r \in NR} \exp\left(\frac{-2\pi irz}{p\omega_1}\right) \theta_1\left(\frac{\pi}{\omega_1}(z - r\omega_2) \mid \frac{p\omega_2}{\omega_1}\right)}, \\ G(z; \omega_1, \omega_2) &= \frac{\prod_{r \in R} \theta_1\left(\pi\left(\frac{z}{\omega_1} - \frac{r}{p}\right) \mid \frac{\omega_2}{\omega_1}\right)}{\prod_{r \in NR} \theta_1\left(\pi\left(\frac{z}{\omega_1} - \frac{r}{p}\right) \mid \frac{\omega_2}{\omega_1}\right)}. \end{aligned}$$

## Transformation formulas

- Intra-relationships:

$$\frac{F(z; \omega_1, \omega_2)}{F(0; \omega_1, \omega_2)} = \left( \frac{F(z; \omega_3, \omega_4)}{F(0; \omega_3, \omega_4)} \right)^{\left(\frac{d}{p}\right)},$$

$$\frac{G(z; \omega_1, \omega_2)}{G(0; \omega_1, \omega_2)} = \left( \frac{G(z; \omega_3, \omega_4)}{G(0; \omega_3, \omega_4)} \right)^{\left(\frac{d}{p}\right)},$$

- Inter-relationship:

$$\frac{F(z; \omega_1, \omega_2)}{F(0; \omega_1, \omega_2)} = \frac{G(z; p\omega_2, -\omega_1)}{G(0; p\omega_2, -\omega_1)}.$$

- These follow immediately from the lattice subset properties.
- The “ $F(0; \dots, \dots)$ ” and “ $G(0; \dots, \dots)$ ” can be removed by logarithmic differentiation. Then expand in powers of  $z$  and equate coefficients...

## Generalized Eisenstein series

- $\frac{x}{e^{px} - 1} \sum_{k=1}^{p-1} \binom{k}{p} e^{kx} = \sum_{n=0}^{\infty} B_{n,p} \frac{x^n}{n!}$
- $E_n^0(\tau; \chi_p) = -\frac{B_{1,p}}{2} \delta_{n,1} + \sum_{j=1}^{\infty} \frac{j^{n-1}}{1 - q^{pj}} \sum_{k=1}^{p-1} \binom{k}{p} q^{jk}$
- $E_n^{\infty}(\tau; \chi_p) = -\frac{B_{n,p}}{2n} + \sum_{j=1}^{\infty} \binom{j}{p} \frac{j^{n-1} q^j}{1 - q^j}$
- $\delta_{m,n} = \begin{cases} 1 & \text{if } m = n, \\ 0 & \text{if } m \neq n \end{cases}$

## Generalized Eisenstein series

Suppose  $p$  is an odd prime,  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(p)$ ,  
 $n \in \mathbb{Z}^+$  and  $n \equiv (p-1)/2 \pmod{2}$ . Then:

$$E_n^0 \left( \frac{a\tau + b}{c\tau + d}; \chi_p \right) = \left( \frac{d}{p} \right) (c\tau + d)^n E_n^0(\tau; \chi_p),$$

$$E_n^\infty \left( \frac{a\tau + b}{c\tau + d}; \chi_p \right) = \left( \frac{d}{p} \right) (c\tau + d)^n E_n^\infty(\tau; \chi_p),$$

$$E_n^\infty \left( \frac{-1}{p\tau}; \chi_p \right) = \frac{1}{c_p \sqrt{p}} (p\tau)^n E_n^0(\tau; \chi_p),$$

$$E_n^0 \left( \frac{-1}{p\tau}; \chi_p \right) = \frac{\sqrt{p}}{c_p} \tau^n E_n^\infty(\tau; \chi_p).$$

## Remark

We could try and begin with

$$G_n^0(\tau; \chi_p) = \sum \sum' \frac{\left(\frac{k}{p}\right)}{(j + k\tau)^n}$$

and

$$G_n^\infty(\tau; \chi_p) = \sum \sum' \frac{\left(\frac{j}{p}\right)}{(j + pk\tau)^n}.$$

This requires  $n > 2$  for convergence.

The method outlined in this talk yields results for  $n = 1$  and  $n = 2$  as well.

## Part 5: Concluding remarks

- Venkatachaliengar's proof of the transformation formula for the eta-function is elementary and self-contained.
- It uses Liouville's theorem, but not the Jacobi triple product identity, or any other facts about theta functions.
- Venkatachaliengar's book contains many other elegant proofs. For example, the addition formula and differential equations for the Weierstrass and Jacobian elliptic functions are derived by simple (but clever) manipulations of series.
- Venkatachaliengar's question: can topics in the book be extended to finite fields?